

# UTILITY PATENT APPLICATION TRANSMITTAL

## (Small Entity)

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Docket No.  
2350.422

Total Pages in this Submission  
4

### TO THE COMMISSIONER FOR PATENTS

Mail Stop Patent Application  
P.O. Box 1450  
Alexandria, VA 22313-1450

Transmitted herewith for filing under 35 U.S.C. 111(a) and 37 C.F.R. 1.53(b) is a new utility patent application for an invention entitled:

**ARRANGEMENTS AND METHODS FOR CONNECTING DECORATIVE ORNAMENTS**

and invented by:

BAYER, George  
SCHONBEK, Andrew J.  
TAVANO, Carl  
TUCKER, Daniel

If a **CONTINUATION APPLICATION**, check appropriate box and supply the requisite information:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Which is a:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP) of prior application No.: \_\_\_\_\_

Enclosed are:

### Application Elements

1. ☒ Filing fee as calculated and transmitted as described below
2. ☒ Specification having 42 pages and including the following:
  - a. ☒ Descriptive Title of the Invention
  - b. ☒ Cross References to Related Applications (if applicable)
  - c. ☐ Statement Regarding Federally-sponsored Research/Development (if applicable)
  - d. ☐ Reference to Sequence Listing, a Table, or a Computer Program Listing Appendix
  - e. ☒ Background of the Invention
  - f. ☒ Brief Summary of the Invention
  - g. ☒ Brief Description of the Drawings (if filed)
  - h. ☒ Detailed Description
  - i. ☒ Claim(s) as Classified Below
  - j. ☒ Abstract of the Disclosure

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

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**Application Elements (Continued)**

3. ☒ Drawing(s) *(when necessary as prescribed by 35 USC 113)*
- a. ☐ Formal Number of Sheets \_\_\_\_\_
- b. ☒ Informal Number of Sheets 16
4. ☒ Oath or Declaration
- a. ☐ Newly executed *(original or copy)* ☒ Unexecuted
- b. ☐ Copy from a prior application (37 CFR 1.63(d)) *(for continuation/divisional application only)*
- c. ☒ With Power of Attorney ☐ Without Power of Attorney
- d. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application,  
see 37 C.F.R. 1.63(d)(2) and 1.33(b).
5. ☐ Incorporation By Reference *(usable if Box 4b is checked)*  
The entire disclosure of the prior application, from which a copy of the oath or declaration is supplied under Box 4b, is considered as being part of the disclosure of the accompanying application and is hereby incorporated by reference therein.
6. ☐ CD ROM or CD-R in duplicate, large table or Computer Program (Appendix)
7. ☒ Application Data Sheet (See 37 CFR 1.76)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission *(if applicable, all must be included)*
- a. ☐ Computer Readable Form (CFR)
- b. ☐ Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
- ii. ☐ Paper
- c. ☐ Statement(s) Verifying Identical Paper and Computer Readable Copy

**Accompanying Application Parts**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(B) Statement (when there is an assignee)
11. ☐ English Translation Document (if applicable)
12. ☒ Information Disclosure Statement/PTO-1449 ☒ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Certificate of Mailing
- ☐ First Class ☒ Express Mail *(Specify Label No.):* EV 344875289 US

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

**Total Pages in this Submission**  
**4**

☒ (Optional) Small Entity Statement(s) - Specify Number of Statements Submitted: **1**

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***An applicant who makes a request not to publish, but who subsequently files in a foreign country or under a multilateral international agreement specified in 35 U.S.C. 122(b)(2)(B)(i), must notify the Director of such filing not later than 45 days after the date of the filing of such foreign or international application. A failure of the applicant to provide such notice within the prescribed period shall result in the application being regarded as abandoned, unless it is shown to the satisfaction of the Director that the delay in submitting the notice was unintentional.***

**UTILITY PATENT APPLICATION TRANSMITTAL**  
**(Small Entity)**

*(Only for new nonprovisional applications under 37 CFR 1.53(b))*

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**Fee Calculation and Transmittal**

**CLAIMS AS FILED**

For	#Filed	#Allowed	#Extra	Rate	Fee
Total Claims	43	- 20 =	23	x \$9.00	\$207.00
Indep. Claims	6	- 3 =	3	x \$43.00	\$129.00
Multiple Dependent Claims (check if applicable) <input type="checkbox"/>					\$0.00
BASIC FEE					\$385.00
OTHER FEE (specify purpose) _____					\$0.00
TOTAL FILING FEE					\$721.00

- ☒ A check in the amount of **\$721.00** to cover the filing fee is enclosed.
- ☒ The Director is hereby authorized to charge and credit Deposit Account No. **08-1935** as described below.
- ☐ Charge the amount of \_\_\_\_\_ as filing fee.
- ☒ Credit any overpayment.
- ☒ Charge any additional filing fees required under 37 C.F.R. 1.16 and 1.17.
- ☐ Charge the issue fee set in 37 C.F.R. 1.18 at the mailing of the Notice of Allowance, pursuant to 37 C.F.R. 1.311(b).

Dated: February 5, 2004

  
Signature

John Pietrangelo  
Registration No. 39,331  
Customer No. 23405

cc:

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant: BAYER, et al.

Serial No.: To Be Assigned

Group Art Unit: To Be Assigned

Filed: Herewith

Examiner: To Be Assigned

Title: ARRANGEMENTS AND METHODS FOR CONNECTING  
DECORATIVE ORNAMENTS

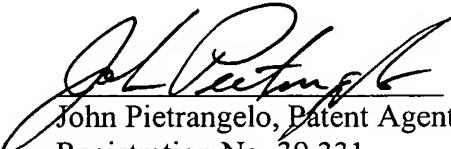
To: **MAIL STOP PATENT APPLICATION**  
COMMISSIONER FOR PATENTS  
P.O. BOX 1450  
Alexandria, VA 22313-1450

**ASSERTION OF SMALL ENTITY STATUS UNDER 37 C.F.R. § 1.27(c)(2)**

Dear Sir:

The Applicant in the above-identified application is entitled to small entity status.

Respectfully submitted,

  
John Pietrangelo, Patent Agent  
Registration No. 39,331

Dated: February 5, 2004

**HESLIN ROTHENBERG FARLEY & MESITI P.C.**

5 Columbia Circle

Albany, New York 12203

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## CERTIFICATE OF MAILING BY "EXPRESS MAIL"

In Re Application of: BAYER, et al.

Title: ARRANGEMENTS AND METHODS FOR CONNECTING  
DECORATIVE ORNAMENTS

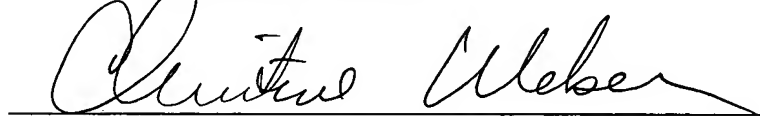
Attorney Docket No.: 2350.422

"EXPRESS MAIL" MAILING LABEL NO.: EV 344875289 US

Date of Deposit: February 5, 2004

I hereby certify that this paper is being deposited with the U.S. Postal Service "Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and addressed to Mail Stop Patent Application, Commissioner For Patents, P.O. Box 1450, Alexandria, Virginia, 22313-1450.

CHRISTINE WEBER

A handwritten signature in black ink, appearing to read 'Christine Weber', is written over a horizontal line.

Enclosed:

- \* One (1) Acknowledgment Postcard;
- \* Express Mail Certificate – Label No.: EV 344875289 US;
- \* Check in the amount of \$721.00 (Patent Filing Fee);
- \* Small Entity Utility Application Transmittal Letter (4 pp.), in duplicate;
- \* U.S. Patent Application in 42 pp. including 43 Claims;
- \* Informal Drawings (16 pp., 53 Figs.);
- \* Unexecuted Declaration and Power of Attorney (4 pp.);
- \* Application Data Sheet (4 pp.);
- \* Assertion of Small Entity Status (1 p.);
- \* Information Disclosure Statement (1 p.);
- \* Information Disclosure Citation citing 1 Reference.